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Carmine berardi colts neck nj

Carmine Anthony Berardi (also known as Carl Berard), of Red Bank, New Jersey, a stockbroker registered with First Standard Financial Company LLC, was the subject of a customer initiated investment related Financial Industry Regulatory Authority (FINRA) securities arbitration claim in which the customer was awarded \$281,500.00 in compensatory damages because Berardi was held liable on the customer's claims. FINRA Arbitration No. 20-04098 (January 13, 2022). First Standard went bust in 2019, and Mr. Berardi deceased on November 13, 2021. The Statement of Claim, which was filed in December 2020, alleged that Berardi engaged in unauthorized trading, inappropriately used margin, made unsuitable recommendations, breached a contract, breached his fiduciary duties, made omissions and misrepresentations of material fact, and failed to supervise certain representatives in connection with the sale of investments when Berardi was associated with First Standard Financial Company LLC. This is not the first time that Berardi has been the subject of an arbitration action concerning Berardi's conduct in the securities industry. FINRA Public Disclosure shows that Berardi has been suspended from associating with any FINRA member in any capacity because Berardi failed to comply with an arbitration award or settlement agreement or otherwise confirm compliance with FINRA Arbitration No. 19-03746 (August 10, 2021). The suspension was terminated on September 7, 2021. Berardi was found jointly liable on the customer's claims of breach of contract and violations of state securities laws and was ordered to pay the customer \$232,426.30 in compensatory damages. Berardi was associated with First Standard Financial Company LLC as a stockbroker from June 11, 2014, to April 19, 2018. Foreign surnames can be transliterated and even translated (e.g. Lion vs. Leone). Sometimes names in public records are misspelled due to silly typos and OCR errors. For example, Swick could be listed as Slick. Make sure to check as many variants as possible. This surname is found in public records in various versions, some of which are Beradi, Bernardi, Berard, Berandi, Barardi, Erardi, Berarbi, Beraroi, Bernard, Beardi, Berdardi, Bartlett, Verardi, Vega, Derardi, Benardi, Barrera, Crane, Schroeder, Cranewhite People sometimes make mistakes in spelling last names. Below you will find a list of surnames similar to Carmine Berardi that were found in public records This surname is found in public records in various versions, some of which are Beradi, Bernardi, Berard, Berandi, Barardi, Erardi, Berarbi, Beraroi, Bernard, Beardi, Berdardi, Bartlett, Verardi, Vega, Derardi, Benardi, Barrera, Crane, Schroeder, Cranewhite Former Red Bank, New Jersey broker and investment adviser Carmine Berardi (CRD# 4879362) has been suspended by the Financial Industry Regulatory Authority (FINRA) in connection to allegations he failed to comply with an award or settlement agreement. Berardi was most recently associated with First Standard Financial Company in Red Bank. Carmine Berardi's BrokerCheck report currently lists one disclosure: an August 2021 suspension in connection to allegations he "failed to comply with an arbitration award or settlement agreement or to satisfactorily respond to a FINRA request to provide information concerning the status of compliance." According to the disclosure, he was suspended under Article VI, Section 3 of FINRA By-Laws and FINRA Rule 9554. The suspension took effect on August 10, 2021 and will last indefinitely, until the payment in question is made or discharged. According to the arbitration award in question, the matter concerns a 2019 complaint alleging breach of contract, breach of warranties, promissory estoppel, violations of state securities statutes and the Consumer Protection and Deceptive Trade Practices Act, and other common law claims in connection to allegations of account churning and unauthorized trading. The complaint resulted in an award to the customer of more than \$232,400. According to the Financial Industry Regulatory Authority (FINRA), Carmine Berardi holds 11 years of securities industry experience and is currently suspended from acting as a broker. His prior registrations include First Standard Financial Company in Red Bank, New Jersey; Alexander Capital in Staten Island, New York; Brookstone Securities in Staten Island, New York; Mercer Capital in New York, New York; Newbridge Securities Corporation in Staten Island, New York; JP Turner & Company in Staten Island, New York; and Gunnallen Financial in Staten Island, New York. He has passed five securities industry qualifying examinations: the Uniform Securities Agent State Law Examination, or Series 63; the Uniform Investment Adviser Law Examination, or Series 65; the Securities Industry Essentials Examination, or SIE; the General Securities Principal Examination, or Series 24; and the General Securities Representative Examination, or Series 7. He currently holds zero state securities licenses. (Information current as of August 18, 2021.) 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